

Transfer on Death Registration Form



This form is for use only with Individual, Tenant-In-Common, and Joint Ownership registrations.

To effect a Transfer on Death (TOD) registration, please complete and mail this form with your AEI Fund Subscription Agreement (or, to change an existing beneficiary designation) to:

ADDRESS: AEI Fund Management, Inc., Attn: Client Services 1300 Wells Fargo Place
30 East Seventh Street St. Paul, MN 55101-4901 **PHONE:** 800-328-3519

THE FOLLOWING INFORMATION MUST BE COMPLETED (PLEASE PRINT)

INVESTMENT REGISTRATION INFORMATION:

Name Social Security Number

Name Social Security Number

Address

City State Zip

BENEFICIARY DESIGNATION: Check One: Initial Designation Change Existing Designation

Name Social Security Number Date of Birth Percentage Distribution

Name Social Security Number Date of Birth Percentage Distribution

Name Social Security Number Date of Birth Percentage Distribution

NOTE:

If you are designating multiple beneficiaries, ownership interests will be issued equally to all beneficiaries unless otherwise indicated.
If you are designating a child under the age of 18 as beneficiary, please name a custodian. It is recommended that the custodian be someone other than the owner of the investment interests.

Transfer on Death (TOD) Limitations and Modifications

1. This TOD registration is effective on the first of the month following receipt in the AEI home office in St. Paul, MN.
2. Beneficiary designations only apply to the Investment Registration Information listed above.
3. Upon notification of the death of all original owner(s) and receipt of the required documentation, the ownership of the Investment will be transferred to the beneficiary(ies) named on this form. The investment will be transferred to a named beneficiary when the required documents have been received in the home office. The beneficiary(ies) may register the investment transferred to him/her in any manner consistent with AEI registration standards in effect at the time of transfer.
4. The validity and effect of this form shall be governed by the laws of the State of Minnesota.

AUTHORIZATION:

I have read and understand the Transfer on Death Limitations and Modifications and on behalf of myself and my successors, heirs, and beneficiaries, I hereby agree to indemnify, defend, and hold harmless AEI Fund Management, Inc. (AEI), AEI Funds, and their affiliates against any loss, claim, damage, settlement amount or expense (including attorney's fees) to the extent that TOD effected according to these instructions is alleged or found to be unlawful, invalid, or ineffective for any reason. AEI, AEI Funds, and their affiliates are entitled to attach or debit the account and/or make claims against the value of the assets in the account of the TOD beneficiary to the extent necessary to enforce their rights to this indemnity. I agree to be bound by the Securities Transfer Association (STA) TOD Rules, as may be amended from time to time by the STA or altered, modified, or supplemented by AEI. I understand that AEI reserves the right, at any time, without prior notice, to suspend, limit, modify, or terminate the TOD registration. If I have previously designated a beneficiary for these accounts, I hereby revoke that designation.

Signature Date Signature Date

IF YOU HAVE ANY QUESTIONS, PLEASE CALL AEI CLIENT SERVICES AT 800-328-3519 BETWEEN 8:30 A.M. AND 5:00 P.M. CST MONDAY THROUGH FRIDAY.

Guidelines for TOD Registration Form



Adding the Transfer on Death feature to the ownership registration enables individual or joint tenant account owner(s) to designate a beneficiary(ies) to “automatically” become the new account owner(s) upon the death of the current owner(s). Although a TOD account in no way avoids inheritance taxes, it does allow the assets in an account to be transferred to the named beneficiaries without going through probate.

THE BENEFITS OF TOD REGISTRATION INCLUDE:

- Allowing the owner(s) of an account to retain all normal rights of ownership.
- Allowing the owner(s) to name beneficiary(ies) who will become owner(s) of the account ONLY after the owner (or the last of multiple owners) dies.
- Prohibiting beneficiary(ies) from giving instructions or receiving information regarding the account until the death of the last account owner.
- Transferring ownership of the account to a beneficiary(ies) outside of probate.
- Allowing the TOD Registration to be maintained upon the death of a joint owner (in the case of multiple owners). The surviving owner(s) may change the beneficiary designation by sending to AEI:
 - ✓ A copy of death certificate;
 - ✓ Transfer on Death Registration Form;
 - ✓ if owner is still alive, a newly completed TOD Registration Form will add or change beneficiaries;
 - ✓ if no beneficiary survives the death of all owners, ownership interest will pass to the estate of the last owner to die; or
 - ✓ if the beneficiary survives the owner(s), but dies before the transfer, ownership interest will pass to the estate of the beneficiary.

ELIGIBLE OWNERS INCLUDE:

- Single owner accounts of natural persons; and,
- Natural persons owning the account as joint tenants with right of survivorship (JTWRWS). You must, however, be a resident of a state that permits TOD registration.
- Note: TOD Registration is not available for tenants in common accounts, community property accounts, or those accounts not owned by natural persons (e.g. trusts corporation, associations, etc.).

Note: Currently TOD registrations have been authorized in the following states:

Alabama	Florida	Maine	Nevada	Oregon	Washington
Alaska	Georgia	Maryland	New Hampshire	Pennsylvania	West Virginia
Arizona	Hawaii	Massachusetts	New Jersey	Rhode Island	Wisconsin
Arkansas	Idaho	Michigan	New Mexico	South Carolina	Wyoming
California	Illinois	Minnesota	New York	South Dakota	
Colorado	Indiana	Mississippi	North Carolina	Tennessee	
Connecticut	Iowa	Missouri	North Dakota	Utah	
Delaware	Kansas	Montana	Ohio	Vermont	
District of Columbia	Kentucky	Nebraska	Oklahoma	Virginia	

ELIGIBLE BENEFICIARIES INCLUDE:

- Natural persons (multiple beneficiaries are permitted);
- Entities (e.g. trusts, corporations, associations, etc.); and,
- Custodians under Uniform Transfers to Minors Act.

Neither AEI Fund Management, Inc. nor its affiliates are responsible for determining the tax and legal consequences concerning the decision to register an ownership interest in TOD form. We, AEI Funds, are not responsible to a designated TOD beneficiary for distribution in respect of ownership interests registered in TOD form paid after the owner's death but before the re-registration of the investment to designated beneficiaries. Contact your legal advisor for further information regarding the effect of the TOD registration on your investment.

COMMENTS: